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PTO/SB/05 (03-01)

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09/28/01

# UTILITY PATENT APPLICATION TRANSMITTAL

(Only for new nonprovisional applications under 37 CFR 1.53(b))

Attorney Docket No. **92750/63**First Inventor **Jeffrey Lynn Haddox**Title **SYNTHETIC COMPLEMENTARY PEPTIDES AND OPHTHALMOLOGIC USES THEREOF**Express Mail Label No. **EL613312445US****APPLICATION ELEMENTS**

See MPEP chapter 600 concerning utility patent application contents.

1.  Fee Transmittal Form (e.g., PTO/SB/17)  
*(Submit an original and a duplicate for fee processing)*

2.  Applicant claims small entity status.  
See 37 CFR 1.27.

3.  Specification [Total Pages **54**]  
*(preferred arrangement set forth below)*

- Descriptive title of the invention
- Cross Reference to Related Applications
- Statement Regarding Fed sponsored R & D
- Reference to sequence listing, a table, or a computer program listing appendix
- Background of the Invention
- Brief Summary of the Invention
- Brief Description of the Drawings *(if filed)*
- Detailed Description
- Claim(s)
- Abstract of the Disclosure

4.  Drawing(s) (35 U.S.C. 113) [ Total Sheets **1** ]

5. Oath or Declaration [ Total Pages **3** ]

a.  Newly executed (original or copy)

b.  Copy from a prior application (37 CFR 1.63 (d))  
*(for continuation/divisional with Box 18 completed)*

i.  **DELETION OF INVENTOR(S)**  
Signed statement attached deleting inventor(s) named in the prior application, see 37 CFR 1.63(d)(2) and 1.33(b).

6.  Application Data Sheet. See 37 CFR 1.76

**ADDRESS TO:** Assistant Commissioner for Patents  
Box Patent Application  
Washington, DC 20231

7.  CD-ROM or CD-R in duplicate, large table or Computer Program (Appendix)

8. Nucleotide and/or Amino Acid Sequence Submission *(if applicable, all necessary)*

- a.  Computer Readable Form (CRF)
- b. Specification Sequence Listing on:
  - i.  CD-ROM or CD-R (2 copies); or
  - ii.  paper
- c.  Statements verifying identity of above copies

**ACCOMPANYING APPLICATION PARTS**

9.  Assignment Papers (cover sheet & document(s))

10.  37 CFR 3.73(b) Statement  Power of Attorney *(when there is an assignee)*

11.  English Translation Document *(if applicable)*

12.  Information Disclosure Statement (IDS)/PTO-1449  Copies of IDS Citations

13.  Preliminary Amendment

14.  Return Receipt Postcard (MPEP 503) *(Should be specifically itemized)*

15.  Certified Copy of Priority Document(s) *(if foreign priority is claimed)*

16.  Nonpublication Request under 35 U.S.C. 122 (b)(2)(B)(i). Applicant must attach form PTO/SB/35 or its equivalent.

17.  Other: **transmittal letter**

18. If a CONTINUING APPLICATION, check appropriate box, and supply the requisite information below and in a preliminary amendment, or in an Application Data Sheet under 37 CFR 1.76:

 Continuation    Divisional    Continuation-in-part (CIP)of prior application No. **09/521,365**

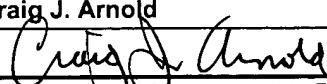
Prior application information:

Examiner **A. Davenport**Group Art Unit: **1653**

For CONTINUATION OR DIVISIONAL APPS only: The entire disclosure of the prior application, from which an oath or declaration is supplied under Box 5b, is considered a part of the disclosure of the accompanying continuation or divisional application and is hereby incorporated by reference. The incorporation can only be relied upon when a portion has been inadvertently omitted from the submitted application parts.

**19. CORRESPONDENCE ADDRESS**

<input type="checkbox"/> Customer Number or Bar Code Label	<input type="checkbox"/> (Insert Customer No. or Attach bar code label here)			<input type="checkbox"/> Correspondence address below
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Name (Print/Type)	Craig J. Arnold	Registration No. (Attorney/Agent)	<b>34,287</b>
Signature			Date <b>Sept. 28, 2001</b>

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September 28, 2001

via Express Mail

Commissioner of Patents and Trademarks  
Washington, D.C. 20231

Box Patent Application

Re: Rule 1.53(b) Continuation Application of  
U.S. Patent Application No. 09/521,365  
Title: SYNTHETIC COMPLEMENTARY PEPTIDES  
AND OPHTHALMOLOGIC USES THEREOF  
Inventors: Jeffrey Lynn Haddox, Roswell Robert Pfister,  
James Edwin Blalock, and Matteo Villain  
Our File: 92750/63

"Express Mail" mailing label No. EL613312445US

Date of Deposit: September 28, 2001

I hereby certify that this paper or fee is being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under 37 CFR 1.10 on the date indicated above and is addressed to the Commissioner for Patents, Washington, D.C. 20231.

Name: Lisa M. Melanson

Signature: Lisa M. Melanson

Dear Sir:

Pursuant to 37 C.F.R. § 1.53(b), enclosed please find a Continuation Application for patent, in the names of Jeffrey Lynn Haddox, Roswell Robert Pfister, James Edwin Blalock, and Matteo Villain, and entitled SYNTHETIC COMPLEMENTARY PEPTIDES AND OPHTHALMOLOGIC USES THEREOF, including the following:

1. a Utility Patent Application transmittal sheet (1 page);
2. a copy of the prior Application, including specification (50 pages), claims (3 pages), abstract (1 page), and figure (1 sheet);
3. the Declarations and Powers of Attorney, as originally filed (3 pages);
4. Revocation of Power of Attorney and Appointment of New Power of Attorney documents, as previously filed (6 pages);

Commissioner of Patents  
and Trademarks

-2-

September 28, 2001

5. a Preliminary Amendment, including Exhibit A;
6. a check in the amount of \$355.00, to cover the filing fee; and
7. a return receipt postcard.

Please acknowledge receipt of the enclosed documents by stamping the enclosed postcard and returning same to us.

Respectfully submitted,

AMSTER, ROTHSTEIN & EBENSTEIN  
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By: Craig J. Arnold  
Craig J. Arnold  
Reg. No. 34,287

Dated: September 28, 2001  
New York, New York